Neambijentalna mjerenja: *Termoodskočni efekt* – primjer sinergije difrakcijskih, termičkih, spektroskopskih i teorijskih metoda

My trip to *jumping crystals*...



Kansai international airport, Osaka, Japan

(artificial island, opened in 1994, 4 km x 2.5 km, total cost \$20 billion, sinking rate currently 7 cm/year)





Osaka University

established in 1724, 3000 academic staff, 9000 administrative staff, 26000 students, area 1.6 km²





Panče Naumov (giving lecture at the ECM29, Rovinj, 2015)



Merry Christmas! (Osaka, 2010)

Thermosalient materials - jumping crystals

- first reported in 1983. by Etter and Siedle (*J. Am. Chem. Soc.*, 1983, **105**, 641.) Solid-state rearrangement of (Phenylazophenyl)Palladium Hexaflouroacetylacetonate

tape recorder. When crystals of **1** are heated to 90 ± 10 °C,⁵ they undergo a sudden discontinuous expansion of about 10% along the needle axis with no measureable change in width. If the crystals are heated on only one face, sufficient mechanical strain develops that they literally fly off the hot stage. Weissenberg

By 2009. there were less than dozen reported thermosalient systems!

- 1. Gigg et al., *J. Chem. Soc., Perkin Trans.* 1, 1987, 2411. (±)-3,4-di-*O*-acetyl-1,2,5,6-tetra-*O*-benzyl-*myo*-inositol
- 2. Ding and Herbst, *Acta Cryst.*, 1991, **B47**, 739. *trans,trans,anti,trans,trans*-perhydropyrene
- 3. Lieberman et al., Mol. Cryst. Liq. Cryst., 1994, 242, 79. Terephtalic acid
- 4. Zamir et al., *Mol. Cryst. Liq.Cryst.*, 1994, **242**, 193. Oxitropium bromide
- 5. Corbett and Dickman, *Acta Crystallogr. Sect. C: Cryst. Struct. Commun.*, 1996, **52**, 1851. 4,5-Bis(flourodinitromethyl)-2-methoxy-1,3-dioxolane
- 6. Crottaz et al., *J. Mater. Chem.*, 1997, **7(1)**, 143. NiCr₂O₄ and CuCr₂O₄
- 7. Lieberman et al., *Chem. Mater.*, 2000, **12**, 490. 1,2,4,5 tetrabromobenzene
- 8. Yasutake et al., *Tetrahedron Lett.*, 2000, **41**, 7933. [36]-superphane
- 9. Ferndandes et al., Acta Cryst., 2004, B60, 300. Ortho-ethoxy-trans-cinnamic acid
- 10. Siegrist et al., Adv. Mater., 2007, 19, 2079. Pentacene

Thermosalient materials, or more colloquially called *jumping crystals*, are materials that during heating/cooling undergo a polymorphic phase transition, which is so sudden and energetic that crystals jump off the stage to distances much bigger than the crystal dimensions.

Thermosalient transition takes place on the time scale of several milliseconds (or even less!) and is accompanied by the large and anisotropic change of the unit-cell dimensions (up to 25%).





Why is it interesting?

- simply, it is visually extremely attractive phenomenon
- they provide impressive demonstration of macroscopic conversion of thermal energy to mechanical work
- these actuating or mechanically responsive materials are candidates for future dynamically active elements such as smart medical devices or implants, artificial muscles, biomimetic kinematic devices, electromechanical devices, actuators, thermal sensors...

 single crystals have many advantages and superior performance in comparison to polymers (faster responses and more rapid relaxation to the original state, easier control of locomotion)

Advantages

Aplications

Rapid energy transfer Efficient energy conversion Extraordinary strains Favorable elastic constants Facile fabrication Advantages of crystal engineering Microfluidic valves/gates Energy harvesting Mechanically tunable optical elements Actuation materials (artificial muscles) Switchable reflector elements Tunable contact printing



Any problems?

- 1. still today, the mechanism is not fully resolved
- 2. why some compounds jump, and other do not?
- in most cases quality of crystals during the phase transition is decreased; crystals deform, bend or break; sublimation of crystals ⇒ structural analysis is impossible

Out of those 11 systems only in 5 cases the crystal structures of both phases was determined!

Facts:

- thermosalient phases have similar crystal structures with identical symmetry and space groups
- process is related with relatively small, albeit distinct changes in overall packing and in the unit cell parameters
- extremely fast phase transformation (~ ms)
- collective movement of the molecules, somewhat similar to martensic transformation

High speed recording of the habit plane progression (10 000 fps)

t = 0 t = 2.7 ms t = -9.4 ms t = 36.4 ms t = 61 ms t = 82.1 ms t = 113.3 ms t = 131.9 ms





Three classes of thermosalient materials

Class I

no strong hydrogen bonds

arranged in layers of stacked molecules



(Phenylazophenyl)palladiumhexaflouroacetylacetonate

- upon heating up to 90(±10)°C yellow crystals undergo sudden discontinuous expansion of about 10% along needle axis
- no detectable change in the width of the crystal
- when heated on only one face, they jump off the stage
- on further heating they change their color to red
- molecules form stacks along *c*-axis

trans,trans,anti,trans,trans-perhydropyrene





- when heated above 71.5°C, or cooled below 65.5°C, colorless needle-shaped crystals exhibit strong movements and hop about 6 cm high
- room temperature phase has a corrugated sheet like structure
- high temperature phase could not be determined due to fast sublimation





1,2,

- upon heating transition from to heights sev
- they are know
- heating of one
- phase transition rings from 22.
 shee<u>ts</u>



[36]-superphane

 also shows jumping behavior but the mechanism is still poorly understood







Pentacene

- low temperature phase, pentacene-LT, stable < 190°C
- how temperature phase, pentacene-HT, stable > 190°C
- high temperature data collection is hampered by cracking and sublimation at higher temperature
- crystal structure is composed of similar herringbone layers of molecules



Negative thermal expansion!

Three classes of thermosalient materials

Class II

- any functional groups capable of forming strong hydrogen bonds are sterically hindered
- strong intermolecular interactions are not possible

(±)-3,4-di-*O*-acetyl-1,2,5,6-tetra-*O*-benzyl-*myo*-inositol



- in 1987. reported two thermosalient phases
 later reported three phases plus two glassy materials
 phase transition around 70°C is especially vigorous
 needle-like crystals jump several cm to air
- progression of the transition front can be observed
- needle length changes cca. 10%
- slight difference in crystal structure is attributed to flexibility of phenyl rings



- anticholinergic medicine
- phase transition takes place around 45°C
- *b* axis increases by 11% and *c* axis decreases by 7%
- both phases have similar molecular conformations





4,5-Bis(flourodinitromethyl)-2methoxy-1,3-dioxolane

- thermosalient effect is mentioned in literature
- it was not explained in detail
- high temperature phase is unknown

Three classes of thermosalient materials

Class III

molecules contain functional groups that are capable of forming strong intermolecular interactions which may lead to infinite hydrogen bonded tapes or chains



terephtalic acid

- trimorphic system
- when crystals of form II are heated in the range 75-100°C they undergo morphological change from rhombic to rectangular (I)
- during this reshaping some of the crystals jump
- this change is reversible on cooling to $30^{\circ}C$ hysteresis
- but the crystals need to be pinched with a metal point to regain rhombic shape
- a and c axis compress by 20% and 25%, resp., and b axis increases by 20%
- crystal structures consist of infinite chains of carboxylic acid dimers







N'-2-propylidene-4-hydroxy-benzohydrazide

- appears in three forms; I, II, III
- all three forms crystallize in the polar space group Pna21
- phase transition $I \rightarrow II$ is not reversebile; it is thermosalient
- phase transition II III is reversible; it was reported nonthermosalient
- *a* and *b* axis expand by 8% and 12%, *c* compresses by 14%
- crystals jump up to 1 m
- the explanation given in the literature is via the mechanism of the sudden compression of the polar axis



Oxitropium bromide Introduction

Zamir, S.; Bernstein, J.; Greenwood, D. J. Mol. Cryst. Liq. Cryst. 1994, 242, 193-200.

• oxitropium bromide (OXTB):

pharmaceutical compound used as an anticholinergic drug in treatment of respiratory disorders (e.g. asthma, chronic bronchitis)

- upon heating \longrightarrow endothermic transition
 - form I to form II at 330.95 K
 - highly anisotropic expansion of the cell
 - *b* axis: +11%; *c* axis: 7%
 - + 4% overall volume
 - form II to form I at 308.15 K
 - melting point is in the range 475.15-478.15 K_{\geq}
- the two forms were characterized by means of infrared spectroscopy, differential scanning calorimetry and powder X-ray diffraction



DSC curve of OXTB



Introduction



Zamir, S.; Bernstein, J.; Greenwood, D. J. Mol. Cryst. Liq. Cryst. 1994, 242, 193-200.

What was resolved?

- the crystal structure of the LT form I has been determined
- powder XRD pattern of the HT form II has been recorded

What remained unresolved?

- crystal structure of the HT phase II has not been determined
- mechanism underlying the thermosalient effect in this compound has not been explained

Oxitropium bromide during heating



Oxitropium bromide during heating



Oxitropium bromide Experimental

Polymorph screening:

- oxitropium bromide was commercially supplied (original sample)
- oxitropium bromide was dissolved in: acetone, ACN, CHCl3, DCM, EtOH, EtOAc, i-PrOH, MeOH, THF, H₂O and several combinations of these solvents.
- successful recrystallizations :

	Phase A	Phase A	Phase B
	blocky crystals	prismatic crystals	prismatic crystals
	DCM, ACN/DCM	MeOH/DCM	acetone, CHCl3
a = 7.3927 Å b = 10.1512 Å c = 24.6291 Å			

a = 7.4514 Å *b* = 11.2179 Å *c* = 22.8043 Å

Experimental

PXRD pattern of the HT phase (form II)

Zamir, S.; Bernstein, J.; Greenwood, D. J. Mol. Cryst. Liq. Cryst. 1994, 242, 193-200.



Thermal methods (differential scanning calorimetry):

The DSC curves were recorded for:

1. phase A (prismatic)

2. phase A (blocky)

3. original sample (phase A)







DSC





Temperature / K

Phase A (blocky)



Phase A (original)



XRD

Molecular structures of phases A and B

- both obtained from recrystallization and stable at RT



XRD



at the three bridging atoms at the phenyl ring

at the tricyclic moiety

phase A $\varphi \approx 80^{\circ}$ phase B $\varphi \approx 89^{\circ}$



XRD



Largest differences in distances between molecular structure A and B

Distance	Phase A (Å)	Phase B (Å)	Relative difference (%)
d ₉	1.393(4)	1.379(6)	1.01
d_7	1.374(5)	1.34(9)	2.47
d ₅	1.379(4)	1.395(7)	1.16
d ₂₆	1.515(4)	1.498(5)	1.12
d ₁₉	1.532(3)	1.513(5)	1.24
d ₁₀	1.517(4)	1.496(5)	1.38

Largest differences in angles between molecular structure A and B

Angle	Phase A (°)	Phase B (°)	Difference (°)
d ₃ -d ₂	111.3(2)	114.1(3)	2.8
d_{6} - d_{7}	119.4(3)	120.7(5)	1.3
d_{10} - d_3	112.5(2)	109.0(3)	3.5
d ₁ -d ₂	112.7(2)	108.4(3)	4.3

Largest differences in dihedral angles between molecular structure A and B

Dihedral angle	Phase A (°)	Phase B (°)	Difference (°)
d ₂ -d ₃ -d ₉	101.20(29)	115.85(43)	14.65
$d_2 - d_3 - d_4$	75.85(32)	66.16(45)	9.69
$d_3 - d_2 - d_1$	161.05(22)	168.32(33)	7.27
d_{14} - d_{13} - d_{20}	29.42(31)	25.92(43)	3.5
d ₁₄ -d ₁₃ -d ₁₂	91.08(25)	94.52(34)	3.44
d_{12} - d_{11} - d_{10}	179.05(21)	175.29(27)	3.76
d_{10} - d_3 - d_9	134.38(25)	119.60(38)	14.78
d_{11} - d_{12} - d_{20}	156.88(20)	162.69(26)	5.81
d_{10} - d_2 - d_1	73.21(29)	67.93(42)	5.28
d ₁₁ -d ₁₂ -d ₁₃	76.89(25)	70.66(35)	6.23
d_{10} - d_3 - d_4	48.76(35)	58.40(42)	9.64
d_{11} - d_{10} - d_2	177.96(22)	169.92(28)	8.04
$d_{11} \ d_{10} \ d_3$	52.91(29)	63.41(37)	10.5
d_{27} - d_{10} - d_2	2.89(39)	13.63(55)	16.52
d ₂₇ -d ₁₀ -d ₃	127.94(31)	113.03(43)	14.91

XRD

Crystal packing of phases A and B



-intermolecular hydrogen bonds were found in both phase A and B

Phase	D-H distance (Å) (O4-H4)	HA distance (Å) (H4Br1)	Angle (°)	DA distance (Å) (O4Br1)
Α	0.82	2.491	156.68	3.260
В	0.82	2.402	178.77	3.222

Oxitropium bromide Mechanism of the phase transition



Single crystal to single crystal phase transition, induced by heating and by UV irradiation!



Oxitropium bromide FTIR and ¹H NMR

FTIR spectra revealed:

- clear differences in spectra of the OXTB-A and OXTB-B phases
- spectra of the blocky and prismatic crystals of OXTB-A were identical, and the spectra of the heated and UV irradiated crystals corresponded to those of OXTB-B phase
- dependence of the spectrum of the OXTB-A phase on grinding (with or without presence of KBr)
- carbonyl stretching band (1726 cm⁻¹) disappearing while a new band appearing (1735 cm⁻¹)
- this indicates a probable mechanically induced phase transition OXTB-A \rightarrow OXTB-B
- spectrum of the UV irradiated samples depended on the presence of KBr
- ¹H NMR analysis showed that the UV irradiation of OXTB mixed with KBr causes significant irreversible changes of hte NMR spectrum of the data
- in the presence of KBr, OXTB underwent a UV-induced reaction at the bistricyclic moiety, probably opening of the epoxide ring

FTIR

FTIR spectra





N'-2-propylidene-4-hydroxybenzohydrazide

Centore et al., CrystEngComm, 2012, **14**, 2645 Naumov et al., Scientific Reports, 2016, **6**, 29610



Reported: transition $I \longrightarrow II$ (thermosalient)

- crystals undergo rotations and flips after which are violently shattered

transition II \longrightarrow III (non-thermosalient) - smooth, with no jumping or shattering of the crystals

Phase transition II - III cooling rate: 30 K/min



Phase transition III - II heating rate: 30 K/min





poylidene-4-hydroxybenzohydrazide

During both phase transitions - crystals started to jump -pronounced decrease in one direction and increase in the second *Spring-like* behavior –

 $\Delta a = -0.2 \%$

 $\Delta b = 12 \%$

 $\Delta c = -13 \%$

responsible for jumping of crystals

Form III

a = 9.932(2) Å

b = 9.120(3) Å

c = 11.701(2) Å

 $V = 1059.8 \text{ Å}^3$



Form II

a = 9.952(2) Å

b = 8.115(2) Å

c = 13.508(3) Å

 $V = 1090.91 \text{ Å}^3$

What happens before the actual phase transition ?

zig-zag chains formed via H-bonds

Form I

a = 9.1764(3) Å

b = 7.2214(2) Å

c = 15.5834(5) Å

 $V = 1032.66 \text{ Å}^3$

 $\Delta a = 8 \%$

 $\Delta b = 12 \%$

 $\Delta c = -13 \%$

N'



DFT aiming at elucidation of TS phenomena

- First attempt to elucidate phase transitions in thermosalient system by DFT
- Large unit cells usually prohibit the use of high-level quantum chemistry calculations with the recent progress in development of DFT with the van der Waals (vdW) interactions
 good accuracy and predictability in modeling of molecular crystals has become accessible
- plane-wave basis set code in Quantum Espresso and van der Waals density functional with consistant exchange (vdW-DF-cx)

	Form I		Form II		Form III				
	a (Å)	b (Å)	c (Å)	a (Å)	b (Å)	c (Å)	a (Å)	b (Å)	c (Å)
PBE	9.71	7.72	15.51	10.22	8.10	14.20	10.14	8.95	12.94
vdW-DF	9.36	7.28	15.78	10.09	8.16	13.43	10.06	9.19	11.75
vdW-DF-cx	9.08	7.01	15.80	9.78	7.86	13.59	9.76	9.21	11.25
Exp.	9.09	7.04	15.88	9.80	7.93	13.61	9.78 9	9.19	11.21

good agreement of calculated
and experimentally determined
lattice constants (better than
with original vdw-DF and
commonly used PBE functional)

- minimum energy transition path between the crystal forms climbing image solid state nudged elastic band (CI-SSNEB)
- phonon calculations density functional perturbation theory (DFPT)
- interatomic force constants were calculated 3x3x3 q-point mesh.
- interpolated on a 13x13x13 q-point mesh to obtain DOS and vibrational part of free energy
- plane wave basis set cutoff is 680 eV for phonon calculations and 820 eV for stress calculations.
- atoms were relaxed until total energy change was $<10e^{-5}$ eV and all forces $<5e^{-4}$ eV/A

Energy transition paths from LT forms (I and III) to HT form (II)



- At T=0 Form I has the lowest enthalpy (slightly lower than Form III)
- Form II has noticeably higher enthalpy (~0.09 eV).
- Energy paths from both low temperature forms, Form I and Form III, feature a transition barrier to Form II.

Problems

- Why is only phase II present at HT?
- Barrier is smaller for I→II compared to III→II one would expect that I→II happens at lower temperature
- from experimental data we know it is just opposite to understand phase transitions in this system one has to go beyond the model at *T*=0.
- Why is only II-III reversible?

Temperature dependance of Helmholtz free energy



wilEntropy: subtraction a large number, the free energy wil eventally becomes lowest

At low *T* Form I lowest free energy Form II highest free energy

At 66 °C Form II becomes stable than Form III (exp: phase transition II-III at 80 °C)

At 130 °C Form II becomes stable than Form I (exp: phase transition I-II at 150 °C)

Zero point energy - determined by <u>high energy</u> <u>modes</u> - similar in all forms - does not make a difference in free energy between forms (intramolecular bonds are almost the same)

 $\frac{1}{2}\sum_{q,n}\hbar\,\omega(q,n)$

Entropy term – determined by <u>low energy</u> <u>modes</u> – Form II has initial faster increase of DOS at **lower** energies compared to other forms - its entropy increases the fastest with the

temperature.

 $k_BT \sum_{q,n} \ln[1 - \exp(-\hbar\omega(q,n)/k_BT)]$

$$\frac{dF}{d\omega} = \left(n + \frac{1}{2}\right)\hbar, \quad n = 1/\left[\exp\left(\frac{\hbar\omega}{k_{\rm B}}T\right) - 1\right]$$

Negative thermal expansion by the DFT

- Thermal expansion coefficient: α=C⁻¹/(T)/V
 C⁻¹ is elastic compliance matrix
 I(T) is vector proportional to Grüneisen parameter.
- Grüneisen parameters (measures how does the vibrational frequencies change with changes of unit-cell) are quite cumbersome to calculate → calculated positive Grüneisen parameters
- From stress-strain relations \rightarrow elastic constants $C_{ij} \rightarrow C$ matrix

Form I (179, 26, 24, 320, 345, 524) kbar, Form II (200, 30, 23, 406, 300, 296) kbar, Form III (300, 10, 10, 579, 281, 208) kbar.

- Invert C matrix (in order to obtain elastic compliance matrix) and multiply it by any positive element vector $I \rightarrow$ obtained negative values for the thermal expansion along the *c*-axis in the case of Form I, and in the *b*-direction in the cases of Form II and Form III
- Multiply C^{-1} with unity vector obtain the **linear compressibility coefficients** β_i

Compressibility/TPa ⁻¹	Form I	Form II	Form III
eta_1	46	29	24
eta_2	51	-8	-28
eta_3	-24	37	81

uniaxial negative thermal expansion results as a consequence of another extraordinary feature - negative linear compressibility (NLC) – one edge of the crystal will increase upon the application of pressure on it.

Negative linear compressibility materials (NLCs)

Compressibility/TPa ⁻¹	Form I	Form II	Form III
β_1	46	29	24
β_2	51	-8	-28
β_3	-24	37	81

______are known to show such unusual behavior.

Among all NLC materials, organic compounds are extremely rare.

Compared to methanol monohydrate (-3.8 TPa⁻¹) our compound has one order of magnitude higher negative compressibility

Not only that, our compound exhibits larger NLC compared to inorganic solids (metal oxides and fluoride) as well.



In fact, NLC values in N'-2propylidene-4hydroxybenzohydrazide are rivaled only by the ones of molecular frameworks - the class of compounds showing the most pronounced NLC.

Explanation for irreversibility of the I - II transition



I - II proceeds in through path consistent with linear compressibility \rightarrow least stress

On cooling II, transition to I is not in accordance with thermal coefficients

	Сb	Clc
Phase I	+	_
Phase II	-	+
Phase III	_	+

Rapidnes of phase transition in TS system

Thermosalient phase transitions are rapid.

"Regular" phase transition

- transformation occurs quasi-stationary
- just before the phase transition the long range correlation occurs, two phases coexist, order parameter goes to infinity, and finally, the parameter fluctuations transfer the one crystal phase to another phase.

In jumping crystals

- one thermosalient phase briskly transforms to the second one - transition is non-stationary.
- such rapidness can only be obtained by joint molecular displacements and not on one-by-one molecule basis.
- Anwar et al. studied joint molecular displacements in molecular crystals of the amino acid DL-norleucine.
 - Transformation involving joint movements is caused by the specific phonon which frequency goes to zero at the temperature of phase transition.
- To check this hypothesis: performed an additional phonon calculation of Form III with extrapolated lattice beyond transition temperature
 - expected to observe that specific phonon with $\omega \rightarrow 0$.
 - observed a softening of all low energy vibrations (frequency decrease up to the order of 10%) none of the phonon frequencies seemed to go to 0

Mechanism of phase transition in TS system

- low energy phonons are playing the main role in TS transition
- slight softening of the low energy modes helps overcoming the barrier in the Gibbs potential energy → system violently collapses to the new minimum → causes a rapid response and jumping

Recall the structures: the transition between Form III and Form II spring relaxation from tightened form to more lose one





Summary

- 1.Experimental methods have to be combined with theoretical calculation in order to fully elucidate thermosalient materials
- 2.Still not able to predict thermosalient behavior, although we established few features common to all thermosalient materials:
 - anisotropic change of the unit-cell
 - negative thermal expansion as a consequence of intrinsic elastic properites of the crystal under study
 - very fast phase transition
 - cooperative action of molecules assisted by low energy phonons

Shrinks when heated Expands when pressured Jumping does not seem so strange to me anymore, right? :-)